

## **TRANSFER OF SHARES TO IEPF**

Notice to the Shareholders (Notice dated 14.04.2017)

Dear Shareholder,

Please note that Dalmia Bharat Sugar and Industries Limited (hereinafter referred to '**the Company**') is required in terms of Section 124 (6) of the Companies Act, 2013 ('**CA2013**') read with Rule 6 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 and Amendment Rules, 2017 ('**IEPF Rules**') to credit such shares, in respect of which dividend has not been claimed for seven consecutive years or more, to Demat Account of Investor Education and Protection Fund ('**IEPF**') Authority ('**IEPF Authority**') within a period of thirty days of such shares becoming due to be transferred to the Fund.

The due date of transfer of such shares shall be deemed to be May 31, 2017 in cases where the period of seven years provided under sub-section (5) of section 124 of the CA2013 has been completed or being completed during the period from September 07, 2016 to May 31, 2017.

Please note that Interim dividend for the Financial Year 2009 - 2010 with respect to the shares held by you (as mentioned above) has been transferred to IEPF on March 14, 2017 upon completion of seven years in terms of sub-section (5) of section 124 of the CA2013 and the dividend for the years subsequent to the Interim dividend for the Financial Year 2009 - 2010 has remained unclaimed till date. Accordingly, the due date for transfer of shares held by you to Demat Account of IEPF Authority is May 31, 2017 and the Company shall accordingly transfer the said shares within 30 days thereof.

The details of such shareholders inter-alia their names, folio number or DP ID-Client ID and number of shares due for transfer can be seen by [clicking here](#).

Please note that the voting rights on the shares so transferred shall remain frozen until the rightful owner claims such shares and all the benefits accruing on such shares, e.g., bonus shares, split, consolidation, fraction shares, etc. except right issue shall also be credited to such Demat Account of IEPF Authority.

Only in case, there is a specific order of Court or Tribunal or statutory authority restraining transfer of shares and payment of dividend or where the shares are pledged or hypothecated under the provisions of the Depositories Act, 1996, the Company will not transfer the shares to Demat Account of IEPF Authority.

You are accordingly requested to please advise us if there is a specific order of Court or Tribunal or statutory authority restraining transfer of your shares and payment of dividend or if the shares are pledged or hypothecated under the provisions of the Depositories Act, 1996 and provide us a copy of such order on or before **May 20, 2017**. You may contact at the following address:

**The Company Secretary**  
**M/s Dalmia Bharat Sugar and Industries Limited,**  
**11th & 12th Floor ,Hansalaya Building,**  
**15, Barakhamba Road, Delhi-110001**  
**Email: [invhelp@dalmiasugar.com](mailto:invhelp@dalmiasugar.com)**  
**Website: [www.dalmiasugar.com](http://www.dalmiasugar.com)**

**Mr. M S Madhusudhan**  
**Karvy Computershare Private Limited,**  
**Unit: Dalmia Bharat Sugar and Industries Limited,**  
**Karvy Selenium Tower B, Plot 31-32, Gachibowli,**  
**Financial District, Nanakramguda,**  
**Hyderabad - 500 032**  
**Ph: 040-67161563**  
**Email: [sridhar.balamurli@karvy.com](mailto:sridhar.balamurli@karvy.com)**

Please note that no claim shall lie against the Company in future with respect to the shares so transferred to the IEPF pursuant to IEPF Rules. However, you may claim the shares under proviso to Section 124(6) of CA2013 or apply for refund under Section 125(3)(a) or under proviso the Section 125(3) of CA2013, as the case may be, from IEPF Authority by submitting an online application in Form IEPF-5 available at [www.iepf.gov.in](http://www.iepf.gov.in).